

FORM PTO-1390
(REV 1-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER
0837RF-H544-USTRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/511658

INTERNATIONAL APPLICATION NO.
PCT/US03/12423INTERNATIONAL FILING DATE
04/18/2003PRIORITY DATE CLAIMED
04/19/2002

TITLE OF INVENTION Composite Drive Shaft with Captured End Adapters

APPLICANT(S) FOR DO/EO/US Sherman Lin, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☐ is attached hereto.
 - b. ☒ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13^{ter}.2 and 37 CFR 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Return postcard for date stamping and return to us.

U.S. APPLICATION NO. 10/511658 INTERNATIONAL APPLICATION NO. PCT/US03/12423		ATTORNEY'S DOCKET NUMBER 0837RF-H544-US					
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1080.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445 (a)(2)) paid to USPTO \$770.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =		CALCULATIONS PTO USE ONLY <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">\$ 790.00</td> <td style="width: 50%; border: none;"></td> </tr> <tr> <td style="border: none;">\$ 0.00</td> <td style="border: none;"></td> </tr> </table>		\$ 790.00		\$ 0.00	
\$ 790.00							
\$ 0.00							
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">\$ 0.00</td> <td style="width: 50%; border: none;"></td> </tr> </table>		\$ 0.00			
\$ 0.00							
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total claims	22 - 20 =	2	X \$18.00				
Independent claims	3 - 3 =	0	X \$86.00				
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+	\$290.00				
TOTAL OF ABOVE CALCULATIONS =		\$ 826.00					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">\$ 0.00</td> <td style="width: 50%; border: none;"></td> </tr> </table>		\$ 0.00			
\$ 0.00							
SUBTOTAL =		\$ 0.00					
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">\$ 0.00</td> <td style="width: 50%; border: none;"></td> </tr> </table>		\$ 0.00			
\$ 0.00							
TOTAL NATIONAL FEE =		\$ 0.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">\$ 0.00</td> <td style="width: 50%; border: none;"></td> </tr> </table>		\$ 0.00			
\$ 0.00							
TOTAL FEES ENCLOSED =		\$ 826.00					
		Amount to be:	\$				
		refunded	\$				
		charged	\$				
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$826.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>502806</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO:							
CUSTOMER NO. 38441		SIGNATURE <u>James E. Walton 10/18/04</u> James E. Walton NAME 47,245 REGISTRATION NUMBER					

10/511658

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 0837RF-H544-US

In Re Application of:

SHERMAN S. LIN, ET AL.

Serial No: TO BE ASSIGNED

Filed: HEREWITH

For: COMPOSITE DRIVE SHAFT WITH
CAPTURED END ADAPTERS

§
§
§
§
§
§
§
§
§
§

Examiner:

Art Unit:

TRANSMITTAL

MAIL STOP: PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Please file the following enclosed documents in the subject National-Stage application:

1. This Transmittal with Certificate of Express Mail;
2. Form PTO-1390;
3. Declaration;
4. Preliminary Amendment, including replacement paragraphs for amendments to the Specification, a Replacement Abstract, and two new drawing sheets;

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10

EXPRESS MAIL NO. EV 484030053 US

I hereby certify that this paper, or fee is being deposited with the United States Postal Service as Express Mail Post Office to Addressee service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to Mail Stop: PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date of Deposit: 10/18/04
By: Janet E. Watts

5. Our check in the amount of \$826.00 to cover the Filing Fee; and
6. Our return postcard which we would appreciate you date stamping and returning to us.

Enclosed is a check in the amount of \$826.00 to cover the Filing Fee. No other fees are deemed to be necessary; however, the undersigned hereby authorizes the Commissioner to charge any additional fees, or credit any overpayments, to Deposit Account No. 502806.

Please link this application to Customer No. 38441, so that it may be accessed via the PAIR System.

Respectfully submitted,

10/18/04
Date

James E. Walton
James E. Walton
Reg. No. 47,245
Michael B. Alford
Reg. No. 48,707
Law Offices of James E. Walton, P.L.L.C.
1169 N. Burleson Blvd., Suite 107-328
Burleson, Texas 76028
(817) 447-9955 (Voice)
(817) 447-9954 (Facsimile)
jim@waltonpllc.com

CUSTOMER NO. 38441

ATTORNEYS FOR APPLICANTS